



INSTITUTIONAL ETHICS COMMITTEE (IEC)

Seth G. S. Medical College and KEM Hospital, Mumbai.

IEC No. of the Project:

Annexure 2

AX 02/SOP 05-A/V 7

Application Form for Clinical Trial/Academic Clinical Trial

Study Title:

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Principal Investigator (Name, Designation & Affiliation):

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1. **Type of Clinical Trial** Regulatory Trial Academic Trial

CTRI Registration Number:

2. **If Regulatory Trial, provide status of CDSCO permission letter**

Approved and letter attached Applied, under process

Not applied (State Reason)

3. **Tick all categories that apply to your trial**

Phase I Phase II

Phase III Phase IV or Post Marketing Surveillance

Investigational medicinal products Investigational New Drug

Medical Devices New Innovative procedure

Drug/Device combination Bioavailability/Bioequivalence studies

Non Drug Intervention Repurposing an existing intervention

Indian system of medicine (AYUSH) Others (*Specify*)

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4. **Trial Design of the study**

Randomized Factorial

Non randomized Stratified

Parallel Adaptive

Cross-over Comparison trial

Cluster Superiority trial

Matched-pair Non-inferiority trial

Others (*Specify*) Equivalence trial

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5. Is there a Contract Research Organization (CRO)/Site Management Organization (SMO)/Any other agency such as public relation/human resource? Yes No

If Yes, Name and Contact details:

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State how the CRO/SMO/Agency will be involved in the conduct of the trial (Tick all that apply)

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|------------------------|--------------------------|--|--------------------------|
| Project management | <input type="checkbox"/> | Clinical and medical monitoring | <input type="checkbox"/> |
| Regulatory affairs | <input type="checkbox"/> | Data management | <input type="checkbox"/> |
| Statistical support | <input type="checkbox"/> | Medical writing | <input type="checkbox"/> |
| Site Management | <input type="checkbox"/> | Audits, Quality Control, Quality Assurance | <input type="checkbox"/> |
| Finance management | <input type="checkbox"/> | Recruitment and training | <input type="checkbox"/> |
| Administrative support | <input type="checkbox"/> | Others (Specify) | <input type="checkbox"/> |

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6. Please provide the following details about the intervention being used in the protocol.

I. Drug/s, Device/s and/or Biologics; Yes No NA

If yes, provide regulatory approval details

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II. Already approved drugs or a combination of two or more drugs with new indications/change in dosage form/route of administration. Yes No NA

If yes, provide details.

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III. Provide contact details of who prepared and/or is manufacturing the drug/s, device/s and biologics.

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IV. Provide details of patents of the drug/s, device/s and biologics.

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7. Justify the use of the placebo and risks entailed to participants. Yes No NA

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8. Will current standard of care be provided to the control arm in the study? Yes No NA

If No, please justify

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9. Justify any plans to withdraw standard therapy during the study. Yes No NA

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10. Describe the rules to stop the protocol in case of any adverse events. Yes No NA

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11. Provide details of Data and Safety Monitoring Plan. Yes No

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Signature of Principal Investigator (PI) with Date: